

# Financial Adviser Profile

## Overview

Shaun Trimnell-Ritchard started in the financial services in 1991 working in various roles at head office for MLC. In 2000 he transferred into financial planning at NAB in Sydney's northern suburbs. After gaining this experience he joined his father in his financial planning practice in 2002. In 2007 he merged with Geoff Hickman to form Hickman & Ritchard Financial Planning.

Financial planning style: I specialise in providing holistic advice. Meaning I look at your broad financial and personal circumstances. I provide advice that is tailored to what is important to you, such as paying off your mortgage before retirement, achieving financial wealth goals (strategies to build a share or property portfolio, reaching wealth targets for retirement or affording school fees or cashflow management help), protecting you and your family with tailored personal insurances and providing financial modelling for all of these goals to see what is affordable and achievable.

I value authenticity and getting to know my client's values and what is important to them, so I can provide long-term advice in helping them achieve their personal and financial goals.

Shaun Trimnell-Ritchard is a Sub-Authorised Representative of Shaun Trimnell-Ritchard Financial Planning Pty Ltd trading as Hickman & Ritchard Financial Planning, Corporate Authorised Representative No. 1285346. Authorised Representative No. 277565.

## Qualifications

Shaun Trimnell-Ritchard holds an Advanced Diploma in Financial Planning and meets the competency requirements under ASIC's Regulatory Guide RG 146.

## Professional Memberships

Shaun Trimnell-Ritchard is a member of Financial Advice Association Australia (FAAA) and abides by their code of professional conduct and ethics.

## Authorisations

Shaun Trimnell-Ritchard is authorised to provide advice and deal in the following financial products:

- Life Products including Investment Life Insurance Products & Life Risk Insurance Products
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services
- Deposit & Payment Products
- Retirement Savings Accounts ("RSA") products
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government
- Superannuation
- Securities
- Standard Margin Lending Facility.



## Shaun Trimnell-Ritchard

Hickman & Ritchard  
Financial Planning

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## Hickman & Ritchard Financial Planning Advice Fees and Charges

Shaun Trimnell-Ritchard will be paid by Financial Planning Advice Fees as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you during your initial meeting.

Shaun's fee for the preparation of a Statement of Advice and other Advice documents will vary depending on the complexity involved and the time taken. You will be notified of the costs involved prior to the commencement of any work.

Shaun's provides the option of ongoing reporting and advisory services. This fee can be a flat fee and/or a tiered percentage of your funds under management, ranging from 1.10% first \$250,000; 0.55% next \$500,000 and 0.28% above \$750,000 incl. GST. You will be notified of the cost involved prior to the commencement of any ongoing services.

Hickman & Ritchard Financial Planning pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Shaun is a Director of Hickman & Ritchard Financial Planning and will receive a salary/benefit from this company.

## Other Benefits Shaun Trimnell-Ritchard May Receive

From time to time Shaun may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than \$300. A register listing the details of any non-cash benefits between \$100 and \$300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.

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